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Water quality limits for Atlantic salmon (*Salmo salar* L.) exposed to short term reductions in pH and increased aluminum simulating episodes

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Abstract

Acidification has caused the loss or reduction of numerous Atlantic salmon (*Salmo salar* L.) populations on both sides of the North Atlantic. Acid deposition peaked in the 1980's and resulted in both chronically and episodically acidified rivers. At present, water quality is improving in all affected rivers due to reduced acid deposition. However, spring snow melt, heavy rainfall and sea salt episodes can still cause short term drops in pH and elevated concentrations of bioavailable aluminum. Technical malfunction in lime dozers will cause short termed episodic spates in the limed rivers. The current situation has prompted a need for dose-response relationships based on short term exposures of Atlantic salmon to assess the potential population effects of episodic acidification. Water quality guidelines for salmon have been lacking, despite a large number of experiments, all demonstrating dose-response relationships between water chemistry and fish health. We have summarized results from 347 short-term (<14 days) exposures of salmon parr and smolt performed between 1990 and 2003 in Norway. The experiments have been performed as bioassays, where fish have been exposed in tanks fed river water, in tanks where the river water quality has been manipulated (added H⁺ and Al) and as Carlin-tagged smolt releases after preexposure to moderately acidic waters. The results from the various bioassays are compared to water quality limits proposed on basis of the relationship between water quality and population status/health in Norwegian rivers. The focus of this article is placed on chemical-biological interactions that can be drawn across experiments and exposure protocols. We propose dose-response relationships for acid neutralizing capacity (ANC), pH, cationic Al and gill accumulated Al, versus mortality in freshwater, effects on hypo-osmoregulatory capacity in seawater challenge tests and on smolt to adult survival in release experiments. The "no effect" dose depends on the life history stage tested and on the sensitivity of the biomarkers. Parr are more tolerant than smolt. Concentrations of Al that have no significant impact on freshwater life history stages can

38 still have major population effects if they occur prior to smolt migration. While smolt can survive in
39 freshwater for a prolonged period of time (>10 days) at an Al dose resulting in a gill Al concentration
40 of up to 300 $\mu\text{g Al}\cdot\text{g}^{-1}$ dw, a 3 day exposure resulting in a gill Al accumulation in the range of 25 to 60
41 $\mu\text{g Al}\cdot\text{g}^{-1}$ dw reduces smolt to adult survival in a dose related manner by 20 to 50 %. For smolt to
42 adult survival, the biological significant response is delayed relative to the dose and occurs first after
43 the fish enters the marine environment. In addition to exposure intensity and timing, exposure duration
44 is important for the setting of critical limits.

45

46 **Keywords:** Atlantic salmon, acidification, water quality limits, episode, pH, ANC, aluminum, gill
47 aluminum, population

48

49 INTRODUCTION

50 Acidification has affected the Atlantic salmon (*Salmo salar* L.) populations in >50 rivers in Norway
51 (Hesthagen and Hansen, 1991; Kroglund et al., 2002; Sandøy and Langåker, 2001). Of these, salmon
52 is classified as extinct in 18 rivers while the catches are reduced in the remaining. Acidification entails
53 a pH reduction, and also a mobilization of aluminum (Al). Toxicity is normally attributed to Al, unless
54 the water is very acid (Gensemer and Playle, 1999; Rosseland and Staurnes, 1994). Numerous other
55 water quality constituents can also affect toxicity, including total organic carbon (TOC) and calcium
56 (Ca). TOC binds metals rendering them unavailable for accumulation whereas Ca reduces the
57 organism's sensitivity to metals (Gensemer and Playle, 1999; Rosseland and Staurnes, 1994).

58

59 In northern Europe, acid deposition peaked in the 1980's and resulted in chronic acid waters in many
60 areas. Water quality is at present improving due to reduced sulfur deposition (Evans et al., 2001;
61 Skjelkvåle et al., 2003). However, many salmon rivers are still severely affected by chronic acid water
62 while others are more impacted during acid episodes. An acidification episode has a short duration
63 where the pH depression most often is related to sulfate and possible nitrate pulses, snowmelt, heavy
64 rainfall and sea salt deposition. During an episode, chemical elements such as H^+ , Al, Ca and organic
65 carbon will be continuously changing in response to dilution, mobilization and transformation
66 processes (Evans et al., 2001; Henriksen et al., 1984; Hindar et al., 2004; Teien et al., 2004b; 2005a).
67 While severe acidification (both chronic and episodic) can cause population extinction, the biological
68 response to a more moderate episode depends not only on the increase in H^+ and Al concentrations,
69 but also on exposure duration and timing and on changes in other water quality relevant elements. The
70 effects an episode will have on fish will as such depend on numerous simultaneous interacting
71 elements including the prior exposure history of the fish. The ecological impacts of episodes are still
72 poorly documented as fish kills and density reductions are rarely observed and documented. This is
73 not necessarily due to kills being a rare event, and is just as likely due to kills being difficult to
74 observe on juvenile life-stages.

75

76 Field bioassays have the capacity to document both mortality rates and sub-lethal responses in fish,
77 and link these to the intensity and duration of an acid/Al episode (Barlaup and Åtland, 1996; Lacroix
78 and Korman, 1996; Magee et al., 2003; Teien et al., 2004b; 2005a). The effects of an episode can be
79 simulated in short-term experiments where the toxic components and the toxicity moderating variables
80 can be controlled and varied (data included here). Population responses to prior exposures can be
81 tested in exposure/release experiments (Staurnes et al., 1996; Kroglund and Finstad, 2003; Kroglund et
82 al., in press; Magee et al., 2003). The results later can be feed into water quality/biological response
83 models (Korman et al., 1994; Monteith et al., 2005). To identify water quality limits with respect to
84 acidification, it is necessary to identify the critical biological properties that need protection and the
85 physio-chemical factors that affect these. Water that does not inflict mortality is not synonymous to a
86 “healthy” or satisfactory water quality. Although sub-lethal biological responses can be measured, the
87 impacts these have on population status is still unclear. Although sub-lethal doses can affect health
88 status and growth, the effects are time limited. Fish surviving an acidification episode will enter a
89 recovery phase which depends on the severity of the initial stress response, the water quality present
90 following the episode, and temperature (Kroglund and Staurnes, 1999; Kroglund et al., 2001a; Lacroix
91 and Korman, 1996; Magee et al., 2003). If fish are exposed to a new episode during the recovery
92 phase, the biological response can be more severe than expected from chemistry alone, as fish health
93 already is compromised (Henriksen et al., 1984). Furthermore, the ecologically relevant responses can
94 be delayed relative to the timing of the dose (see below).

95

96 For salmon, the timing of an acid episode is important because the various life stages are not present at
97 all times of the year and have differences in sensitivity where smolt are more sensitive than parr and
98 fry (Rosseland and Staurnes, 1994; Gensemer and Playle, 1999). Due to this variation in tolerance, an
99 episode prior to or during the final smoltification stage (in spring) can be more detrimental to a salmon
100 population than events of similar severity and duration occurring at another time of the year (Staurnes
101 et al., 1995). The chemical/ biological interactions are further modified by water temperature, as
102 toxicity increases with temperature (Poléo and Muniz, 1993).

103

104 Al is toxic by acting on the gill altering gill tissue structure and function (see review in: Sparling and
105 Lowe, 1996; Gensemer and Playle, 1999; Rosseland and Staurnes, 1994). The biological responses
106 related to H⁺ can be similar to, but also different from responses related to Al (Gensemer and Playle,
107 1999; Rosseland and Staurnes 1994). Mortality in acid water is often related to ionoregulatory
108 disturbance at low pH values, and to respiratory disturbances at high Al concentrations. H⁺ and Al act
109 in concert at intermediate pH-levels. While H⁺ by itself has no effect on the population status of
110 Atlantic salmon down to a pH of 5.4 (Fivelstad et al., 2004; Lacroix, 1989; Watt et al., 2000), this pH
111 value is highly toxic when present together with cationic Al (Rosseland and Staurnes, 1993; Gensemer

112 and Playle, 1999; Staurnes et al., 1995). Understanding how H⁺ and aluminum interacts with fish
113 health is thus crucial to the interpretation of water quality. Acidification represents therefore a
114 combined pressure where the ecological effect of the two main stressors needs to be evaluated
115 separately, but also how they interact and magnify toxicity.

116
117 Current water quality guidelines are often related to the acid neutralizing capacity (ANC) of water, and
118 as H⁺, Al and ANC are interrelated, all can be used as indicators of water quality (Bulger et al., 1993;
119 Krogglund et al., 2002; Lien et al., 1996). However, this statement is only valid when both H⁺ and Al is
120 present and impact water quality: e.g., in water where low pH is due to organic acids, metal toxicity
121 can be insignificant, falsifying pH-based limits generated from Al-enriched water. Not all forms of Al
122 are toxic. Only cationic species of Al contained within the operational forms termed labile Al (LAl) or
123 inorganic monomeric Al (Al_i), are gill-reactive and hence affect fish health (Driscoll et al., 1980;
124 Oughton et al., 1992; Teien et al., 2005b). Experiments show close relationships between cationic
125 forms of Al and the Al concentrations accumulated on gills (Krogglund et al., 2001ab; Teien et al.,
126 2006b). Gill Al thus provides an independent measure of the Al-dose and is related to the
127 concentrations of cationic Al. Not all Al-species contained within cationic Al are equally bioavailable
128 as both DOC and silicate interferes with the relationship between Al_i and gill Al, leading to variation
129 in a dose response model (Teien et al., 2006a,b).

130
131 A no-observed-effect-concentration (NOEC) relates to “no” biological response and is defined as: the
132 highest concentration of an effluent or toxicant that causes no observable adverse effects on the test
133 organisms (EPA, 2000). The biological response used to identify NOEC for salmon has changed over
134 the last decades; from focus on mortality and ionoregulatory responses in freshwater to an increased
135 awareness that acidification also effects growth and behavior (Gensemer and Playle, 1999; Rosseland
136 and Staurnes, 1994). More recently, research has provided data demonstrating how pre-smolt and
137 smolt exposed to Al in freshwater can produce a delayed response, leading to mortality and population
138 effects after the fish left freshwater and entered seawater (Krogglund and Finstad, 2003; Krogglund et
139 al., in press; Magee et al., 2001; Staurnes et al., 1996). While severe acidification affects population
140 status by causing mortality in freshwater, moderate to low levels of acidification can have equal strong
141 effects on population health by reducing smolt quality having an effect on post-smolt survival (Finstad
142 et al., in press; Krogglund and Finstad, 2003; Krogglund et al., in press; Magee et al., 2001; Staurnes et
143 al. 1996). Because smolt leave the river over a time span of several weeks and episodes can last days,
144 parts of the smolt run can contain fish affected by Al, while other parts of the population leave the
145 river at a time with satisfactory water quality or after they have recovered from the episode. In this
146 respect, not only the timing of an episode is important, but also how well the fish can recover before
147 they leave the river and enter seawater.

148

149 There is increasing evidence that with reduced acid deposition, water quality improves and that the
150 intensity of episodes diminishes (Wright in press this issue; Evans in press this issue), though severe
151 acidification episodes still occur during extreme weather events (Barlaup and Åtland, 1996; Hindar et
152 al., 1994; Hindar et al., 2004; Teien et al., 2005a, 2006a). Fish can also experience higher
153 concentrations of Al than predicted on basis of pH or ANC in rivers affected by acid Al-rich
154 tributaries (Rosseland et al., 1992; Poléo et al., 1994; Kroglund et al., 2001ab). Fish are affected by the
155 general water quality, but it can still be the extremes that have the largest impact on population status.
156 Episodes can in part explain the poor biological recovery recorded to date, despite an impressive long-
157 term water chemical recovery (see discussion in: Monteith et al., 2005). The main objective behind the
158 work presented here is to identify empirical relationships between water quality components and their
159 possible effects on salmon populations. Focus is on exposure intensity, duration and timing. The
160 results are interpreted as a simulation of an episode.

161

162 **MATERIAL**

163 The material consists mainly of fish exposure experiments carried out in Norway during the period
164 1990 to 2003. Only brief descriptions of methods are provided here and we refer back to the original
165 articles for full descriptions (Rosseland, et al., 1992; Poléo et al., 1994; Kroglund and Staurnes, 1999;
166 Kroglund et al., 2001abc; Rosseland et al., 2001; Kroglund and Finstad, 2003; Teien et al., 2004a,
167 2006a; Kroglund et al., in press). These experiments involve a total of 347 groups of salmon at various
168 life stages exposed in tanks to water qualities ranging from satisfactory to lethal (Tab.1). In this data
169 compilation, focus is placed on conclusions that can be drawn across experiments through a large
170 range of water chemical compositions and biological responses. The chemical limits derived from the
171 exposure experiments are compared to limits suggested for Atlantic salmon based on population
172 surveys (Kroglund et al., 2002).

173

174 We have included only experiments where we have access to the raw data to avoid uncertainties
175 related to exposure environment; differences in analytical protocols and large differences in TOC
176 concentrations. Experiments not included can and should be used for model validation. The material is
177 divided into two main parts differing with respect to experimental approach. In the short-term
178 experiments, fish were exposed up to 10 days, while in the sea-survival experiments, the exposures
179 were sub-lethal and exposed fish were released to monitor effects on movements and survival in the
180 marine environment.

181

182 **Short-term exposures**

183 *Fish material*

184 The fish were offspring of wild parents reared at local hatcheries or wild smolt caught by electro-
185 fishing. It is not possible to define the pre-exposure history of a wild salmon smolt as this life stage is

186 not stationary and it is likely that these fish had experienced acidification episodes prior to our
187 exposures. Fish originating from the hatchery in River Suldal (SW Norway) experienced acidification
188 pulses prior to being used in the experiments, while fish from the other hatcheries were not pre-
189 exposed to acidic waters. Comparative studies have not been able to detect ecological relevant strain
190 differences in responses to water quality for salmon (Kroglund and Finstad, 2003; Rosseland et al.,
191 2001) as opposed to the large strain related differences in sensitivity observed for brown trout (*Salmo*
192 *trutta* L.) (Dalziel et al., 1995; Andrén et al., 2006). We therefore assume that strain differences are
193 not the cause for response variations in our material.

194
195 Salmon migrate from the ocean to spawn in rivers. In the river, the fish develop from eggs to fry to
196 parr and to smolt and this latter stage will migrate into the ocean in the spring (Mills, 1989). Here, data
197 are presented for the life stages parr and smolt. The definition of a life stage is not straightforward,
198 especially for smolt, as smoltification is a process preadapting the individual to the later marine life.
199 For simplicity, fish >12 cm, showing loss of parr marks and coloration and that were exposed within
200 the period March to May are regarded as being pre-smolt or smolt, while fish exposed prior to this
201 time span are treated as parr. Fish size varied between life stages and experiments. Larger fish are
202 more sensitive to Al while smaller fish are more sensitive to H⁺ (Rosseland et al., 2001). This size-
203 dependent response and sensitivity to the stressors adds to variation in the models.

204

205 ***Biological protocols***

206 All experimental tanks were monitored for mortality at an interval of hours the first days, less frequent
207 thereafter. Mortality is presented as accumulated mortality and time (h) to 1st fish died and in
208 relationship to exposure duration and dose. Before sampling of gills and blood, the fish were killed by
209 a blow to the head. The 2nd gill arch of the fish was cut out for gill Al determination and analyzed
210 according to Teien et al., (2006b). Concentration of Al is reported as µg Al•g⁻¹ gill dry weight (dw).
211 The bioconcentration factor (BCF) is estimated as the ratio between cationic Al in water and gill Al.
212 Only data from the terminal samples are presented here. The material is as such valid with respect to
213 biological response recorded within a 7 to 10 day exposure period. .

214

215 ***Exposure environment – freshwater, seawater challenge test and smolt to adult survival***

216 All fish were exposed in 1-4 m³ tanks, in 90 L black tanks or in cages placed in the rivers. Depending
217 on the experiment, fish were exposed to natural acid and non-acid source water and water added Al⁺
218 and H⁺ to increase toxicity or limestone or sodium-silicate to reduce toxicity.

219

220 Seawater challenge tests were performed as a terminal test in all smolt studies. The test was run at
221 salinities of 33-34 ppt, at temperatures of 6-11 °C and for 24 hours. Responses are here presented as
222 mortality and as blood-plasma Cl⁻ concentrations. The responses are presented as average group

223 performance in seawater minus performance in freshwater (SW plasma Cl⁻ - FW plasma Cl⁻). Plasma
224 Cl⁻ cannot be measured on dead fish; thus the average increase in plasma Cl⁻ in groups suffering from
225 mortality is underestimated.

226

227 To address the relationship between seawater challenge tests and population responses, a seawater
228 survival program was initiated in 1999. Over the years 1999, 2000, 2002 and 2003, groups of 1200 to
229 1500 pre-smolt originating from wild salmon broodstock of the Imsa strain were Carlin tagged (Carlin,
230 1955) prior to exposure to control water or to one of three acid doses where episodic high Al was
231 administered only for the last 3 days prior to release and long term low-Al and long term high-Al
232 lasted >30 days. Following treatment termination, the fish were transported to River Imsa (pH >6.5)
233 and released 150 m above the river mouth. Timing of migration from freshwater to seawater was as
234 such voluntary. Additional details are given by Kroglund et al., (2006).

235

236 ***Chemical protocols***

237 Al is present as unstable inorganic species following changes in pH; e.g. after liming. “Unstable” Al is
238 here functionally defined as Al containing water that has been aged for <30 minutes after an increase
239 in pH. Fish exposed to unstable forms of Al are excluded from the models as the aim was to make a
240 “general” model relating stable water chemistry to biological responses.

241

242 pH was measured using two protocols. pH was either measured in field or at NIVA’s laboratory in
243 Oslo after transport. Positively charged (cationic) Al was identified using two different protocols and
244 is defined as Al retained in an Amberlite ion exchanger column; pyrechatecol-violet method
245 (Røgeberg and Henriksen, 1985) termed LAI, and *in situ* modification of the Barnes-Driscoll method
246 (Teien et al., 2004a) termed Ali. Both protocols are commonly used in Norway, the first in the national
247 monitoring program, the latter in fish experiments dedicated to study Al-biological interactions and
248 Al-species transformations and mechanisms. The two different protocols have different properties and
249 do not estimate identical concentrations of cationic Al (Andrén, 2003). The *in situ* method produces
250 better relationships between Al and a biological response as Al speciation can be changed during
251 transport to the laboratory (Teien et al., 2004a). Aluminum fractionated after transport to a laboratory
252 can therefore underestimate the “true” dose experienced by the fish (Kroglund et al., 2001ab; Poléo et
253 al., 1994; Rosseland et al., 1992; Teien et al., 2004a; 2005b; 2006ab). This effect is best detected using
254 gill Al or *in situ* fractionation of Al. All major cations and anions were measured at laboratory (NIVA)
255 using standard protocols.

256

257 ***NOEC-limits***

258 We use mortality as the unacceptable, non-recoverable biological response. Elevated mortality related
259 to the exposure is understood as an effect of water quality. Water quality limits are based on various

260 biomarkers and are graded as “no effect”, “low to high” and “high”. “No effect” implies that no or few
261 exposure groups responded negatively to the treatment. “Low to high effect” defines the chemical
262 range where all response levels can be present, whereas “high effect” defines water chemistry where
263 all exposure groups responded strongly. Water quality limits are presented with respect to dose and
264 exposure duration for the life stages parr and smolt.

265
266 Performance in seawater challenge tests were used as an indicator of possible effects of freshwater
267 quality on hypo-osmoregulatory capacity of the fish. The test was only performed on groups where
268 freshwater survival was high. Water qualities that cause high mortality in freshwater are therefore
269 lacking in the material. The ecologically more relevant response, actual effects on post-smolt survival
270 affecting adult return rates, was used to define limits with respect to the survival of smolts to the adult
271 stage. Dose response relationships were tested using linear regressions. R^2 values and equations are
272 entered into the graphs whenever $p < 0.001$.

273

274 **RESULTS**

275 *Relationship pH and cationic Al*

276 The relationships we observed between pH, ANC and cationic Al are superimposed onto data from the
277 1000-lake survey in Norway (Henriksen et al., 1989). The relationship between our data and the
278 national dataset was satisfactory for all elements (Fig.1). Our data are thus representative of the
279 chemical ranges present in Norway. There was a close relationship between cationic Al and H^+ in the
280 water with $pH < 6.4$ (Fig.1a). More cationic Al was measured as Ali than as LAI for a given pH
281 ($P < 0.001$). This difference is probably purely analytical, but may also be due to different pH/Al
282 relationships in the various water qualities used in these experiments, and/or due to pH being analyzed
283 in the field when Ali was determined. The systematic difference supports an analytical interpretation.
284 Regardless of protocol, all fish were exposed to a combination of H^+ and Al in these experiments. Due
285 to the differences in relationships, guidelines for pH/Al must be related to the analytical protocols
286 being used. The use of different protocols within acid rain research makes comparisons between
287 studies more difficult (Hindar et al., 2000; Andrén, 2003). There were similar differences in the
288 relationship between cationic Al and ANC and pH to ANC (Fig.1b).

289

290 *Cationic Al – gill Al concentrations*

291 There was a highly significant relationship ($p < 0.0001$) between cationic Al, ANC and gill Al
292 (Fig.2a,c). The relationship was not significantly influenced by Al protocol or life stage ($p > 0.1$). The
293 bio-concentration factor (BCF) varied within a range of 2 to 8, and increased with Al concentration
294 with respect to LAI but not so for Ali (Fig.2b). This demonstrates differences in the bio reactive
295 properties for the two forms of cationic Al. The relative large variation in factor suggests that there are
296 other environmental, biological and chemical factors that also influence Al accumulation. Gill Al

297 concentrations in salmon from water having pH values >6.5 and in water outside regions affected by
298 acid rain is usually <5 $\mu\text{g Al}\cdot\text{g}^{-1}$ dw and almost always <15 $\mu\text{g Al}\cdot\text{g}^{-1}$ dw provided there are no
299 contaminants such as clay present. These values represent background levels (Kroglund et al., 2006).

300

301 The relationship between cationic Al and gill Al will be affected by: a) underestimation of LAI in
302 mixing zones, b) analytical errors in Ali and LAI fractionation, c) gill Al was not in a steady state to
303 cationic Al, d) background concentration of gill Al were elevated due to prior Al-exposures and e)
304 contamination of sample. These factors contribute to uncertainty in the regression models. Most of the
305 uncertainty is probably related to the determination of cationic Al. Furthermore, not all forms of Al
306 species determined as cationic Al are bio-reactive and hence toxic. Measurement of cationic Al can
307 overestimate toxicity in water rich in DOC, silicate (Si) and fluoride (F) (Peterson et al., 1989; Teien
308 et al., 2005b; 2006a). Organically bound Al can have cationic properties with respect to an ion
309 exchanger, but not to the gill. This has been tested using Al^{26} by Oughton et al. (1992) and in exposure
310 experiments where fish were exposed to source water and water that was passed through filters with a
311 10^{-3} nominal cut-off removing about 50% of DOC (Teien et al., 2005b). Regardless of these
312 uncertainties, there were strong relationships between gill Al and biological responses (see later). The
313 BCF can be used to generate a probability range for gill Al given a concentration of cationic Al.

314

315 During an episode there need not be any clear relationship between cationic Al and gill Al. While
316 changes in water quality can occur over a time span of minutes, kinetic constraints will delay the
317 corresponding change in gill Al. During exposure, gill Al increases fast and reaches a “steady” state to
318 the ambient concentration of cationic Al within a time span of 1 day. Likewise, at the end of the
319 episode, water quality can improve faster than gill Al depuration (Teien et al., 2005a; 2006b).

320

321 **Biological responses-parr**

322 There is only limited data on mortality in the parr studies. Reduced survival was recorded in only 9 of
323 101 exposure groups. All fish survived when $\text{pH}>5.6$, $\text{Ali}<45 \mu\text{g}\cdot\text{L}^{-1}$ and $\text{ANC}>15 \mu\text{eq}\cdot\text{L}^{-1}$ (Fig.3a-
324 c). When water quality deteriorated relative to these limits, mortality increased relatively steeply, but
325 could also remain low at $\text{pH}<5$, $\text{Ali}>90$ and $\text{ANC}<-10$. Only toxic responses were never observed
326 within the range in water qualities we exposed the fish to.

327

328 Parr tolerated gill Al concentrations above $500 \mu\text{g Al}\cdot\text{g}^{-1}$ dw. The number of observations are
329 insufficient for determination of NOEC, but 100% of the fish died when the gill Al concentration was
330 $1000 \mu\text{g Al}\cdot\text{g}^{-1}$ dw and there was zero mortality when gill Al $<400 \mu\text{g Al}\cdot\text{g}^{-1}$ dw. There was also a
331 clear relationship between accumulated mortality and the time it took to kill the 1st fish. When
332 accumulated mortality exceeded 25% over a 7 to 10 day exposure period, the first kills were observed
333 within the first 24h exposure separating acute from moderately lethal water qualities.

334

335 **Biological responses-smolt**

336 Mortality was frequently observed in the smolt studies (Fig.4a-d). Reduced survival was recorded in
337 39 of 228 exposure groups. All fish survived when $\text{pH} > 5.8$, $\text{LAl} < 20 \mu\text{g}\cdot\text{L}^{-1}$ or $\text{Ali} < 40 \mu\text{g}\cdot\text{L}^{-1}$ and
338 $\text{ANC} > 15 \mu\text{eq}\cdot\text{L}^{-1}$ (Fig 4a-c). When water quality deteriorated relative to these limits, mortality
339 increased relatively steeply, and was always high when $\text{pH} < 5.5$, $\text{LAl} > 45 \mu\text{g}\cdot\text{L}^{-1}$ or $\text{Ali} > 65 \mu\text{g}\cdot\text{L}^{-1}$
340 and $\text{ANC} < 0 \mu\text{eq}\cdot\text{L}^{-1}$. Mortality started when gill Al exceeded $300 \mu\text{g Al}\cdot\text{g}^{-1} \text{ dw}$ and was thereafter
341 correlated to dose. In water qualities that resulted in high mortality, the first kills were observed within
342 the first 24h of exposure (Fig.4e). At lower doses, the fish had to be exposed for 4 days or more to
343 initiate mortality.

344

345 **Seawater challenge tests**

346 There was a relationship between impaired hypo-osmoregulatory capacity and mortality (Fig 5).
347 Mortality increased when plasma Cl^- in the seawater challenge test exceeded 160 mM or when the
348 difference in plasma Cl^- in seawater to freshwater exceeded 45 mM (Fig 5ab). These levels
349 differentiate between groups having increasing mortality from groups not suffering from mortality.

350

351 When pH in the pre-exposed freshwater > 6.5 and cationic Al $< 5 \mu\text{g Al}\cdot\text{L}^{-1}$, we observed close to zero
352 mortality in a subsequent seawater challenge test. At lower pH or higher Al concentrations, mortality
353 could range from low to high irrespective of dose (Tab.2, Fig 6a,b). Mortality was however
354 significantly ($p < 0.0001$) related to gill-Al and in a clear dose-response manner (Fig 6c).

355

356 The fish had reduced hypo-osmoregulatory capacity with decreasing freshwater pH and increasing
357 cationic Al (Fig.6d). The increase in plasma Cl^- from freshwater to seawater increased beyond 40 mM
358 when cationic Al exceeded $5 \mu\text{g Al}\cdot\text{L}^{-1}$ as LAl or $10 \mu\text{g Al}\cdot\text{L}^{-1}$ as Ali (Fig.6 e) or when gill Al
359 exceeded $25 \mu\text{g Al}\cdot\text{g}^{-1} \text{ dw}$. Mortality in the tests was always high when cationic Al exceeded $25 \mu\text{g}$
360 $\text{Al}\cdot\text{L}^{-1}$ as LAl and when gill Al exceeded $500 \mu\text{g Al}\cdot\text{g}^{-1} \text{ dw}$. Hypo-osmoregulatory regulation was
361 always poor when $\text{pH} < 6.0$, cationic Al exceeded $15 \mu\text{g Al}\cdot\text{L}^{-1}$ as LAl or $25 \mu\text{g Al}\cdot\text{L}^{-1}$ as LAl and
362 when gill Al exceeded $100 \mu\text{g Al}\cdot\text{g}^{-1} \text{ dw}$.

363

364 The dose-response relationships with respect to the seawater challenge tests suggest cut-off limits
365 rather than dose related responses with the exception of gill Al. The strong relationship to gill Al
366 suggests that Al bound to the gill is a stronger indicator of the dose than the chemical indices.

367

368 **Seawater survival**

369 Large groups of Carlin-tagged smolt were exposed to control water (average $\text{pH} > 6.5$; $< 5 \mu\text{g LAl}\cdot\text{L}^{-1}$)
370 or to Al-containing water (pH range 5.7-6.6; LAl range 6-17 $\mu\text{g}\cdot\text{L}^{-1}$). At exposure termination, the fish

371 had accumulated Al onto gills in a dose related manner (25 to 60 $\mu\text{g Al}\cdot\text{g}^{-1}\text{ dw}$), while the control fish
372 had $5.9 \pm 3.3 \mu\text{g Al}\cdot\text{g}^{-1}\text{ dw}$). Gill Al could account for >80 % of the variation in return rates (Kroglund
373 et al., in press). Hypo-osmoregulatory capacity was related to both ANC and cationic Al (measured as
374 LAI) (Fig. 7ab). Adult return rates was related to performance in the seawater challenge test, to
375 cationic Al (as LAI) and to ANC. The number of exposure groups is insufficient to set definitive limits
376 for ANC, but support previous conclusions that even low concentrations of Al have detrimental effects
377 with respect to survival in seawater.

378

379 **Water quality limits**

380 In an experimental study, Atlantic salmon smolt exposed to pH 5.4-5.6 for 35 days, in waters having
381 “no” gill-reactive Al. showed no negative effects monitored as post-smolt survival and growth
382 following 100 days in seawater (Fivelstad et al., 2004). In Canada where high organic content bind
383 most of the Al in water even at pH 5.4, “no effect” on smolt production is expected at pH levels above
384 5.4 (Lacroix, 1989; Watt et al., 2000). These observations suggest that the H^+ concentration at pH of
385 5.4 has no adverse effect on smolt quality by itself. Leivestad et al. (1980) did not observe any
386 reduction in plasma Cl⁻ above pH 4.5 in waters not containing LAI, suggesting H^+ limits to be around
387 pH 4.5 for freshwater life stages of Atlantic salmon. It is reasonable to assume that fish in our studies
388 were mainly responding to Al as pH was generally >5.4. Even if pH had no direct toxic role, pH
389 contributes to toxicity by mobilizing Al from the catchments and by transporting Al on its toxic form
390 to the river. Within the river environment H^+ interacts with Al speciation and hence toxicity and acts
391 together with Al to give a combined stressor.

392

393 The response relationships presented here were mainly generated on fish that were not pre-exposed to
394 acidic waters. Acclimation to acid water has been suggested as a mechanism to cope with impaired
395 water quality to enhance survival (e.g. Allin and Wilson, 1999; Mueller et al., 1991). We did not
396 observe acclimation in any of the long-term studies performed on Atlantic salmon smolt, but we did
397 observe growth reduction and immunosuppression (Finstad et al., in press; Kroglund and Finstad,
398 2003; Kroglund et al., in press) but no signs of genetic adaptation to acid water (Rosseland et al.,
399 2001). This lack of adaptation can be due to the extreme effects Al has on seawater survival (Staurnes
400 et al., 1995) and the flooding of adapted genes by non-adapted genes from fish originating in non-
401 acidic rivers (Rosseland et al., 2001).

402

403 ***Limits affecting freshwater survival (parr to smolt survival)***

404 Parr exposed to pH values <5.6 or cationic Al concentrations >45 $\mu\text{g Al}\cdot\text{L}^{-1}$ suffered from increasing
405 mortality (Tab.3). Mortality was recorded within the first 24 h mainly when pH was <5.1 and when
406 cationic Al exceeded 90 $\mu\text{g Al}\cdot\text{L}^{-1}$. At lower dose levels, mortality occurred first after several days. For
407 smolt, mortality increased when pH was <5.8 or when LAI exceeded 20 $\mu\text{g LAI}\cdot\text{L}^{-1}$ or gill-Al exceeded 300

408 $\mu\text{g}\cdot\text{g}^{-1}$ dw (Tab. 3). Mortality could occur within 24 h when pH was <5.5 , LAI $>25 \mu\text{g}\cdot\text{L}^{-1}$ or gill-AI
409 $>750 \mu\text{g}\cdot\text{g}^{-1}$ dw (Fig. 7). At lower levels of the dose, the fish had to be exposed for days before
410 mortality was observed. The differences in limits are to be expected on basis of the differences in
411 sensitivity previously reported (Rosseland and Staurnes, 1993; Gensemer and Playle, 1999).

412

413 *Limits affecting survival in seawater (smolt to adult survival)*

414 Performance in the seawater challenge tests were increasingly poor when LAI >5 or Ali $> 10 \mu\text{g}\cdot\text{L}^{-1}$.
415 All smolt having gill AI $>25 \mu\text{g}\cdot\text{g}^{-1}$ dw had poor hypo-osmoregulatory capacity (Tab. 4). Results from
416 the sea-survival program indicate that smolt exposed to $>5 < 10 \mu\text{g}\cdot\text{L}^{-1}$ had 25 to 50% reduction in
417 survival, where the reduction was strongly related to dose. The return rates were reduced in all groups
418 having gill AI concentrations $>25 \mu\text{g AI}\cdot\text{g}^{-1}$ dw ($p < 0.0001$). This strong relationship between AI and
419 reduction in adult returns was independent of exposure duration as fish exposed for 3 days fitted into
420 the same model as fish exposed for >30 days.

421

422 The cause-effect mechanisms for this phenomenon are different from those associated with mortality
423 in freshwater. Reduced seawater survival is most likely due to the direct effects AI has on the gill
424 Na^+, K^+ -ATPase activity (ability to maintain homeostasis in seawater), on mucus quality (charge and
425 density), immunosuppression (increased sensitivity to diseases and parasites) and behavior effects
426 related to loss of fright response and willingness to enter full strength seawater (Berntssen et al., 1993;
427 Finstad et al., 2006; Kroglund and Staurnes, 1999; Kroglund et al., in press; Magee et al., 2003;
428 Staurnes et al., 1984; Staurnes et al., 1995; 1996; Rosseland et al., 1992). Of these, AI-induced effects
429 on gill Na^+, K^+ -ATPase activity has received most attention. The activity of this enzyme increases
430 steeply during smoltification as a part of the pre-adaptation to tolerate full strength sea water
431 (Wedemeyer et al., 1980). While compromised seawater tolerance has little effect on performance
432 while the individual is in freshwater, this response is critical for post-smolt survival and can have the
433 same effects on population health as heavy mortality in freshwater (Kroglund and Finstad, 2003;
434 Kroglund et al., in press). Smolt released into the acidified Rivers Mandalselva and Moisaana in the
435 early 1980's gave zero returns, while fish released into the estuary had a low return rate (Hansen,
436 1987). Similar results were observed after releasing smolt into the acid river Lygna, while smolt
437 released into the limed River Audna or into the estuary of the two rivers had recoveries (Staurnes et
438 al., 1996). Improvements in liming strategy can increase salmon adult return rates (Alenäs et al., 1995;
439 Larsen and Hesthagen, 1995; Hesthagen and Larsen, 2003).

440

441 As the timing of the smolt run varies across regions, care must be taken when interpreting the
442 ecological effect of an episodic AI exposure to the actual timing of the smoltification period. The
443 smolt run can last for weeks, where part of the smolt leaving the river can have reduced hypo-
444 osmoregulatory capacity, while individuals migrating earlier or later have normal sea water

445 performance. The ecological effect depends on the timing of the episode and how well fish recover
446 after the episode.

447

448 **DISCUSSION**

449 *Both in situ* bioassays and field surveys suggest that acid episodes are harmful to fish (Barlaup and
450 Åtland, 1996; Hindar et al., 2004; Magee et al., 2003; Teien et al., 2004b; 2005a). In short-term
451 exposures, fish can be exposed to controlled levels of water quality constituents mimicking elements
452 of a natural episode, but is at the same time a poor representation of the complex variation in water
453 chemistry the wild and native fish populations are exposed to prior to, during and following an
454 episode. Results from short-term exposures cannot therefore easily be extrapolated to effects at the
455 population level (Lepori and Ormerod, 2005). For instance, fish constrained within tanks or cages
456 during an episode are denied the possibility of behavioral avoidance that could enhance their survival
457 in the wild. Atlantic salmon has poor developed avoidance behavior with respect to aluminum,
458 compared to other fish species (Åtland and Barlaup, 1995; 1996). To substantiate the water quality
459 limits suggested on basis of short-term exposures, these limits are compared to limits suggested for
460 Atlantic salmon based on population surveys (Kroglund et al., 2002). The results used in this paper
461 were generated by the use of acidification naïve fish, exposed for a short period (<10 days) under
462 controlled conditions. The short exposure duration mimics, but is at the same time an
463 oversimplification of an episode.

464

465 The response limits derived from the parr and smolt studies are not very different from limits
466 suggested on basis of acidification-related effects on adult return rates reported from 73 rivers in
467 Kroglund et al., (2002). Salmon was extinct from all rivers having an annual average pH <5.2 and >50
468 $\mu\text{g LAI}\cdot\text{L}^{-1}$. This is a water quality that affects both parr and smolt survival in the bioassays. Within
469 the pH-range of 5.2-5.7 and 20-50 $\mu\text{g Al}\cdot\text{L}^{-1}$ as LAI, salmon was extinct in some rivers while other
470 rivers had reduced catches. This is a water quality that can cause some mortality in the bioassays,
471 depending on i.e. the calcium level in the river, especially during episodic events. Mortality also will
472 depend on exposure duration. All rivers within the pH range of 5.7-6.2 or a LAI concentration within
473 the range of 5-20 $\mu\text{g Al}\cdot\text{L}^{-1}$ had reduced catches. This is a water quality where parr and smolt survival
474 in freshwater is not affected in the bioassays, but the hypo-osmoregulatory capacity of the smolt is
475 compromised. Rivers having pH >6.2, <3 $\mu\text{g Al}\cdot\text{L}^{-1}$ and ANC values >35 $\mu\text{eq}\cdot\text{L}^{-1}$ were all categorized
476 as unaffected by acidification. Similar conclusions are drawn from the bioassays. The population
477 status of salmon in the individual river will be controlled by chemical and biological factors and in-
478 between year variations in critical exposures (timing, duration and “intensity” of episodes).
479 Furthermore, it is well known that returning adult salmon, being accounted for in the catch statistics,
480 can have an origin from neighboring rivers (strayers) within a region and that escaped salmon from

481 fish farms can contribute to the annual catches as well. Salmon catches in some of the acid rivers can
482 thus be due to smolt produced in other rivers.

483

484 The population responses reported in Kroglund et al., (2002) were all based on annual average water
485 qualities. Fish are affected by the general water quality, but it is probably the extremes (taking
486 exposure duration into account) that have the largest impact on population status. The relationship
487 between episode intensity and annual average chemistry is therefore of interest (Wright et al. this
488 issue). Smolt will migrate from the river and into the ocean during high discharge periods in spring, a
489 time period when acidification episodes were common. Short-term episodes in spring (down to 3 days)
490 can as such be one of the factors contributing to the present low and declined salmon populations in
491 many rivers draining to the Atlantic Ocean (Kroglund et al., in press).

492

493

494 The above limits suggest that population extinction occurs mainly in water qualities where the critical
495 limit for all life history stages is exceeded, since parr (which we have included in our experiments)
496 probably is the most resistant life history stage. Catch reductions, however, occur in rivers where
497 water quality is predicted to affect the hypo-osmoregulatory capacity of the smolt. The low tolerance
498 limits of smolts to Al suggest that more rivers than presently accepted being acidified can be affected
499 by acidification. This can then have restricted the interpretation of cause and effect, and thus the use of
500 chemicals (lime) as a mitigation method to improve fisheries. In those cases, additional data on gill Al
501 would aid to the interpretation of ecological status.

502

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511

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692 (*Salmo salar* L) and brown trout (*Salmo trutta* L) in the limed river Audna, Southern
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695 waters of low pH and elevated aluminum concentration: Laboratory experiments.
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697

698 Table 1. Number of exposure groups, separated and sorted according to life stage and the analytical
 699 protocol for Al fractionation.

Life stage	Number of exposure groups		Total
	LAI-protocol	Ali-protocol	
Parr	34	67	101
Smolt	114	114	228
Post-smolt	18	0	18
Total	116	181	347

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702 Table 2. Percent of the seawater challenge tests (24 h, 33-34 ppt salinity, 6-11°C) resulting to
 703 from zero, >0-<50% and >50% mortality relative to the LAI concentration the fish
 704 experienced while in freshwater. N=179.

LAI $\mu\text{g}\cdot\text{L}^{-1}$	No mortality	Moderate to high mortality	High mortality
	0%	>0 - 50%	>50%
<5	100	0	0
5-10	6	54	40
10-15	38	40	22
15-20	11	65	24
>20	22	18	60

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707 Table 3. Dose levels that separate “no effect” levels from doses where responses can either range from
 708 “low to high” or are always “high” with respect to ANC ($\mu\text{eq}\cdot\text{L}^{-1}$), pH (H^+), cationic Al ($\mu\text{g}\cdot\text{L}^{-1}$) and
 709 gill Al ($\mu\text{g}\cdot\text{g}^{-1}$ dw) as the dose and mortality as the response. Limits are proposed for the life stages
 710 parr and smolt. Exposure duration was <10 days.

	Mortality - parr				Mortality - smolt				
	ANC	pH	Ali	Gill Al	ANC	pH	LAI	Ali	Gill Al
No effect	>15	>5.6	<45	<400	>15	>5.8	<20	<40	<300
Low - high	<15	<5.6	45-90	>1000	<15	5.5-5.8	20-40	40-65	300-450
High					<15	<5.5	>40	>65	>450

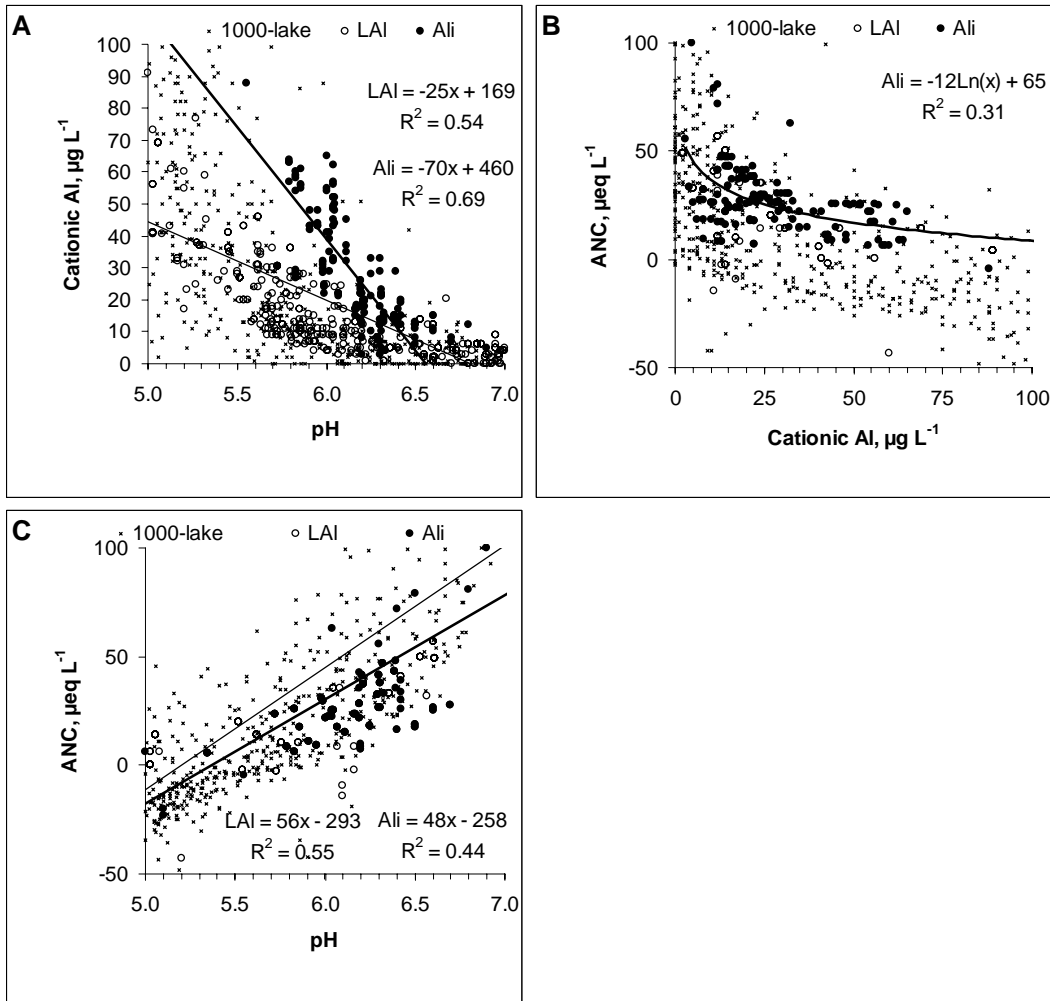
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713 Table 4. Dose levels that separate “no effect” levels from doses where responses can either
 714 range from “low to high” or are always “high” with respect to ANC ($\mu\text{eq}\cdot\text{L}^{-1}$), pH (H^+),
 715 cationic Al ($\mu\text{g}\cdot\text{L}^{-1}$) and gill Al ($\mu\text{g}\cdot\text{g}^{-1}$ dw) as the dose. All fish were exposed for 7 to 10
 716 days. Responses are evaluated using plasma Cl^- (on smolt surviving a 24 h seawater challenge
 717 test) and on effects on adult return rates (smolt release experiments; 3 - >40 days exposure).

	Plasma Cl^- (SW-FW)				Adult return rates			
	pH	LAI	Ali	Gill Al	ANC	pH	LAI	Gill Al
No effect	<6.5	<5	<10	<25	>50	<8	<25	
Low - high	6.5-6.0	5-15	10-25	25-100	<50	8-12	25-60	
High	<6.0	>15	>25	>100		>12	>60	

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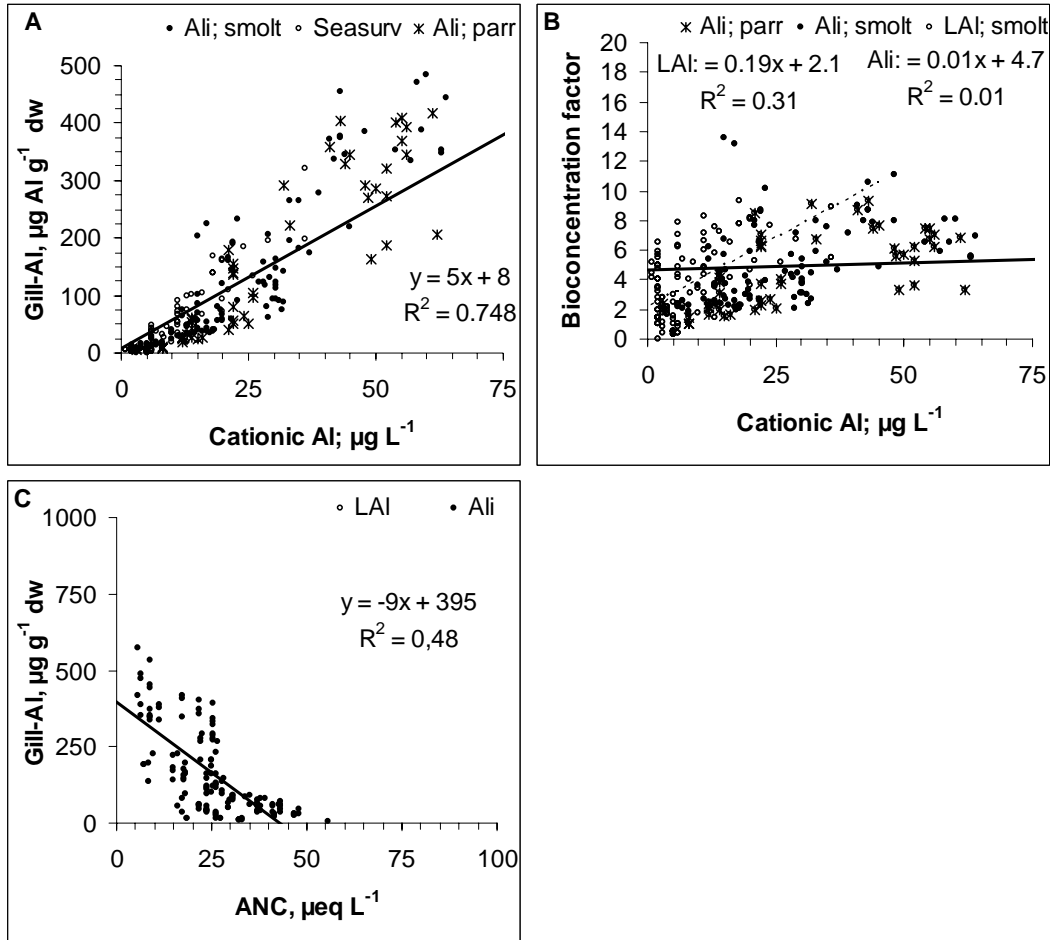
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Figure 1a-c. a) Relationship between pH and cationic Al ($\mu\text{g}\cdot\text{L}^{-1}$) measured *in situ* (Ali) or on samples after transportation to NIVA for Al fractionation (LAI). Fig b,c) relationship between cationic Al and ANC and pH to ANC. The relationships are superimposed on relationships from the Norwegian 1000-lake survey from 1986 (Henriksen et al. 1989; Rosseland and Henriksen 1990, Bulger et al 1993; Lien et al. 1996). Linear relationships are entered into the graphs whenever significant.

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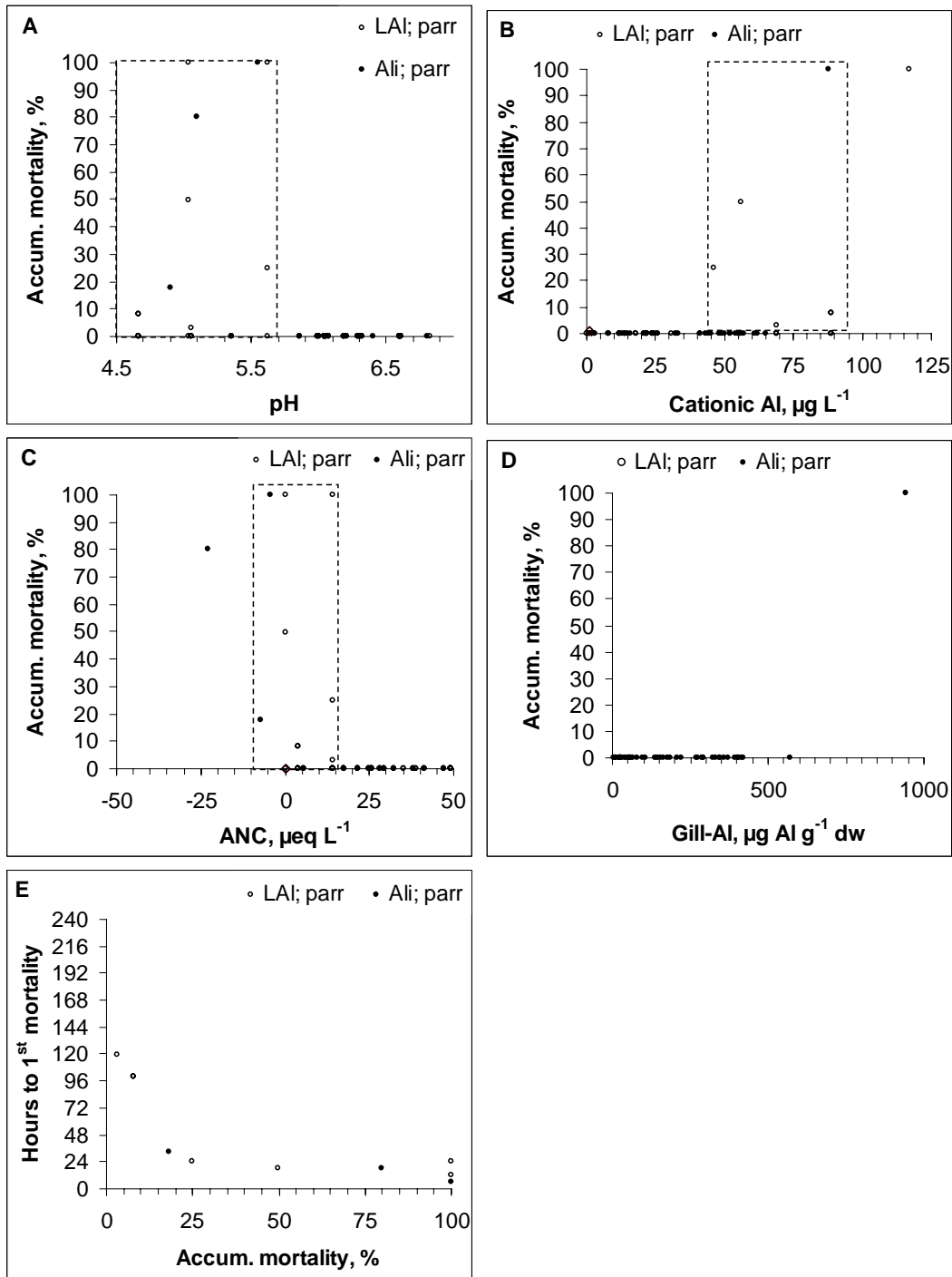
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Figure 2. a: Relationship between cationic Al (LAI and Ali) and gill Al for parr and smolt. Fig. b: Relationship between cationic Al and bio-concentration factor. Fig. c: Relationship between ANC and gill Al. Linear relationships are entered into the graphs whenever significant.

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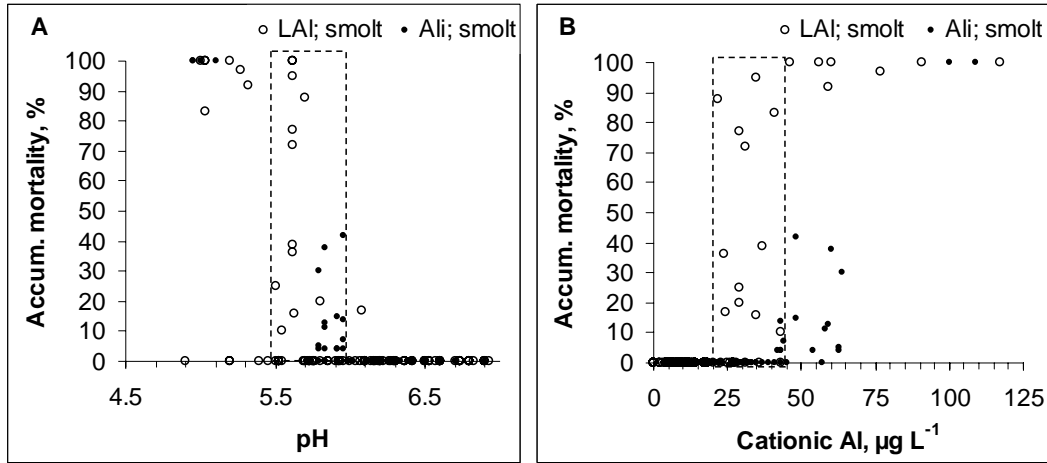
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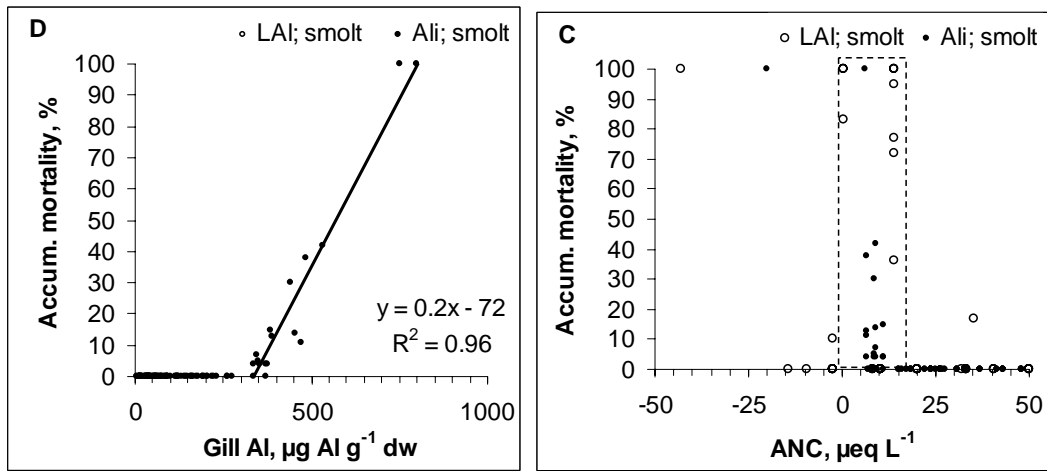
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Figure 3. Relationship between a: pH, b: cationic Al, and c: gill Al and accumulated mortality of parr. In Fig d: the relationship between accumulated mortality over a 10 day period is related to how long it took to kill the first fish. The dashed lines suggest dose levels separating “no effect”, “low to high” effect and always “high” effect.

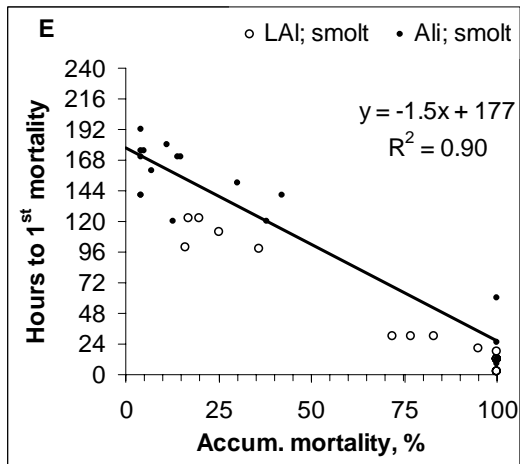
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Figure 4. Relationship between a: pH, b: cationic Al, and c: gill Al and accumulated mortality of

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smolt. In Fig d: the relationship between accumulated mortality over a 10 day period is related to how

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long it took to kill the first fish. Linear relationships are entered into the graphs whenever significant.

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The dashed lines suggest dose levels separating “no effect”, “low to high” effect and /or always “high”

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effect.

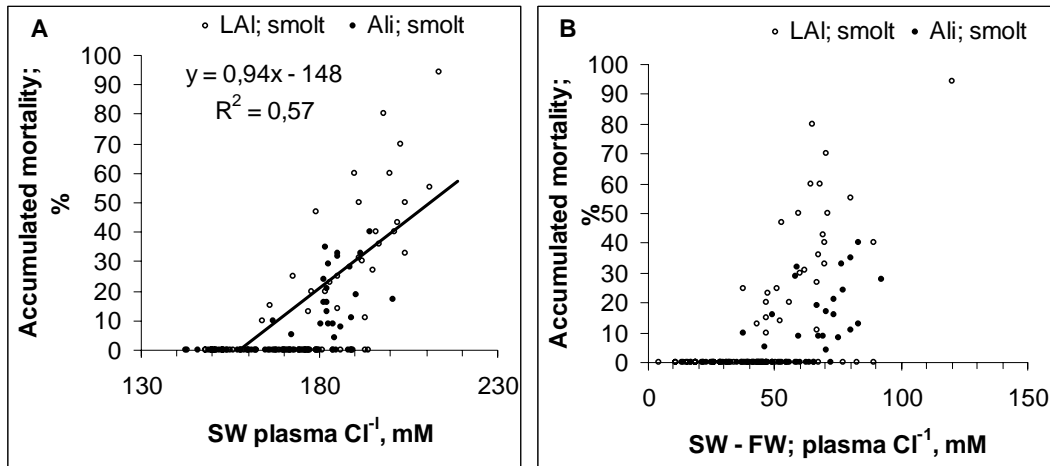
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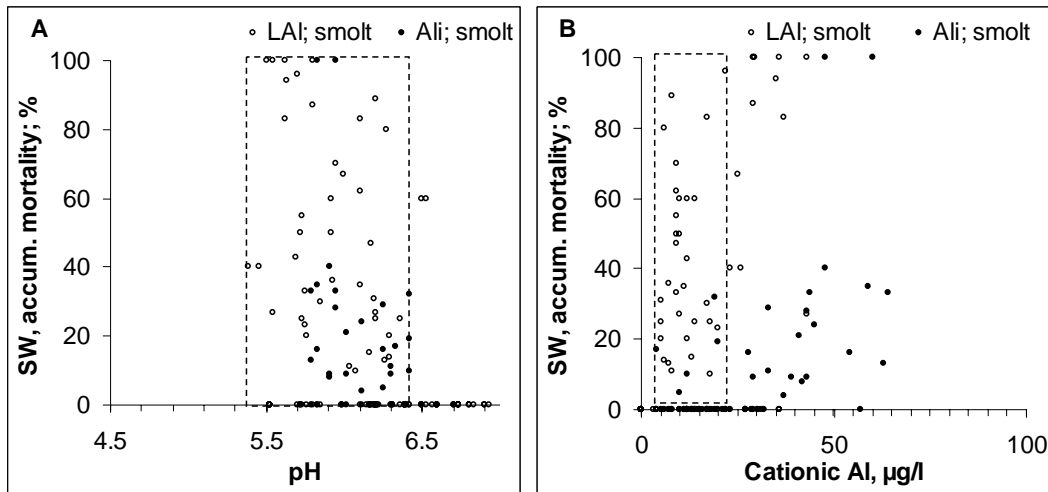
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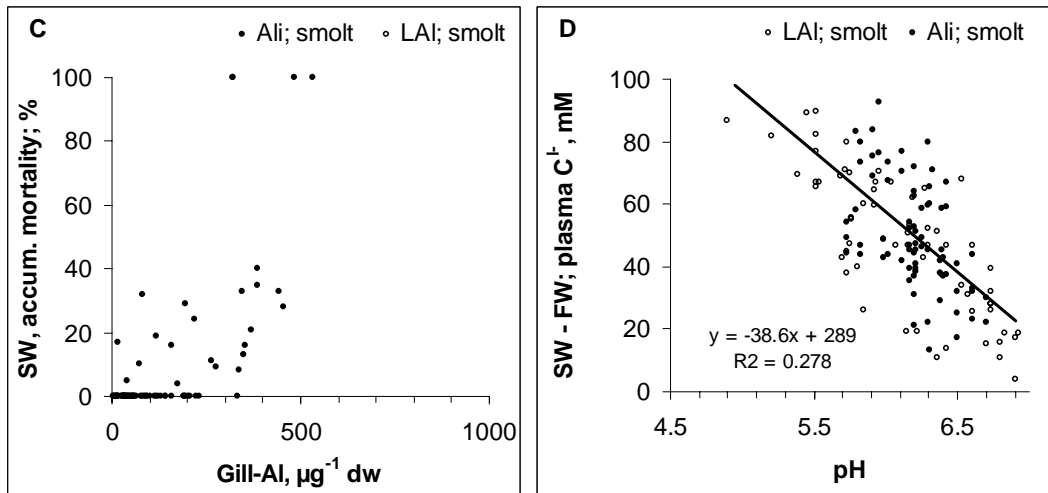
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759 Figure 5. Relationship between a) plasma Cl⁻ or b) increase in plasma Cl⁻ relative to
760 freshwater values and mortality in seawater challenge tests (n=178). Linear relationships are
761 entered into the graphs whenever significant.

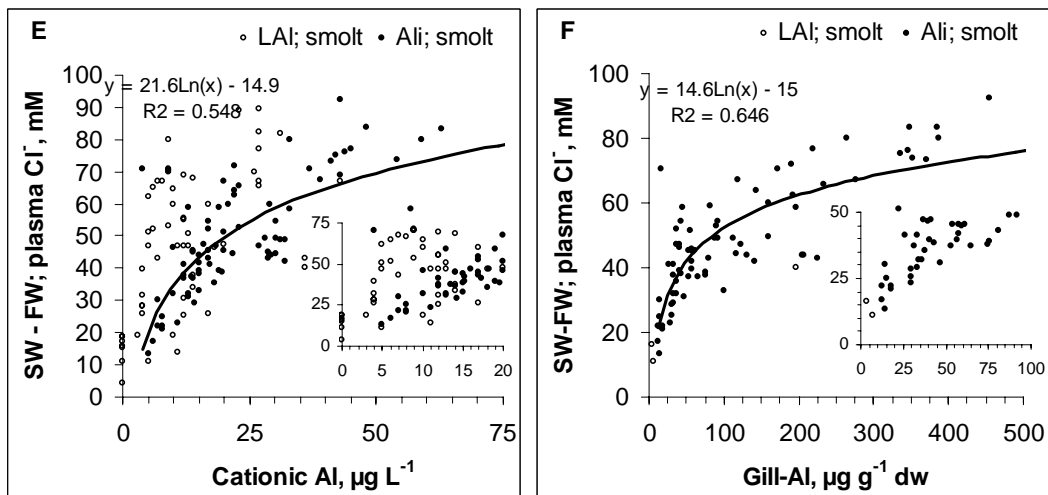
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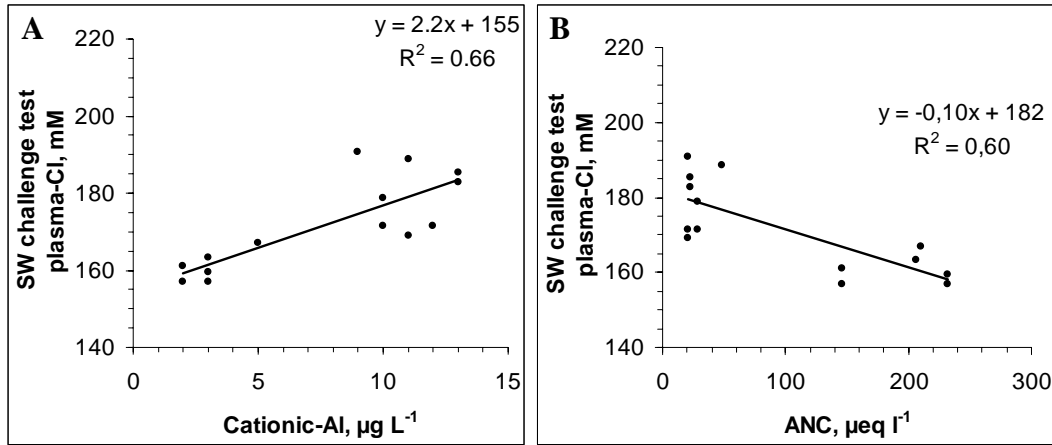


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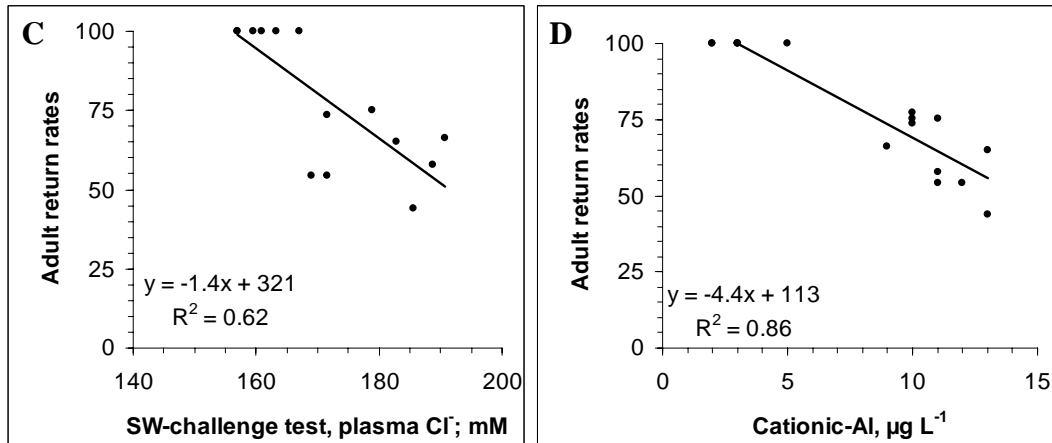


765 Figure 6a-c. Mortality in a seawater challenge test with respect to pH, cationic Al (as LAI and Ali) and gill
766 Al concentrations measured in freshwater prior to the test. Fig. d-e show the same data, but using the
767 increase in plasma Cl⁻ from freshwater to seawater as the biological response. To increase resolution, the
768 relationship for low concentrations is inserted into Fig. e and f. Linear relationships are entered into the
769 graphs whenever significant. The dashed lines suggest dose levels separating “no effect”, “low to high”
770 effect and /or always “high” effect.

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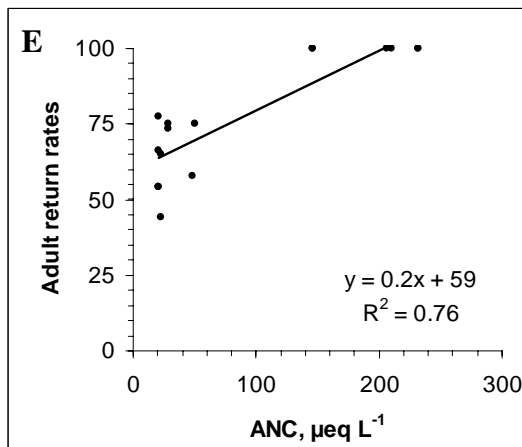
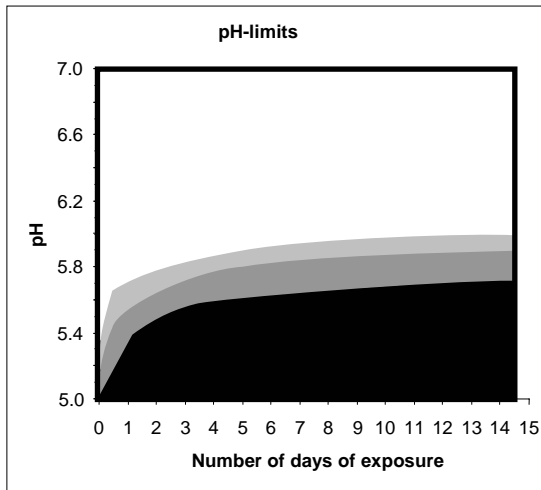
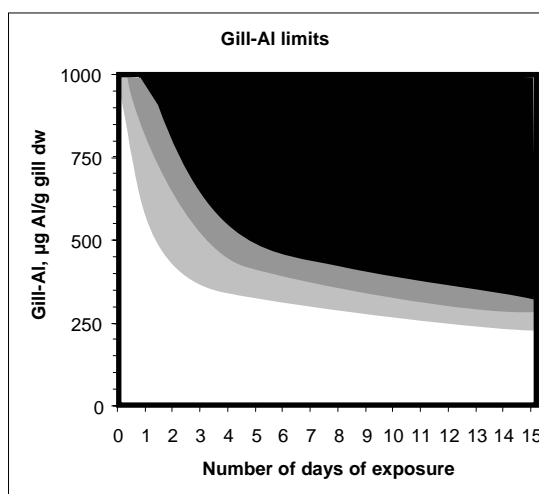
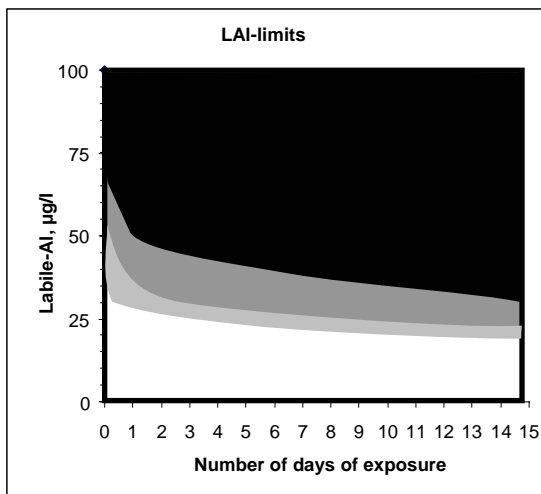
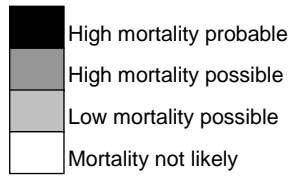


Figure 7ab. Relationships between a) cationic Al (as LAI) and b) ANC with respect to effects on hypo-osmoregulatory capacity (plasma Cl^-). Fig c-e) Relationship between hypo-osmoregulatory capacity, cationic Al (as LAI) and ANC with respect to adult return rates. Linear relationships are entered into the graphs whenever significant.



Smolt



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Figure 7. Expected mortality levels for smolt of Atlantic salmon with respect to pH, cationic Al (as LAI) and gill Al in relation to exposure duration. After: Kroglund and Rosseland, 2004.